

## **PERSONAL FINANCIAL STATEMENT**

The attached Confidential Personal Financial Statement is designed to permit you to provide us with needed information concerning:

1. your ASSETS;
2. your LIABILITIES; and
3. your LIFE INSURANCE COVERAGE.

This information is needed in order for our attorneys to draft appropriate documents to accomplish your estate planning goals. Please complete this Form as thoroughly as possible and also bring any related documents, such as account statements, deeds and insurance policies, to your meeting with the attorney.

**CONFIDENTIAL**

**PERSONAL FINANCIAL STATEMENT  
FOR ESTATE PLANNING PURPOSES**

**To:** ROLEWICK & GUTZKE, P.C.  
Danada West Professional Center  
1776 South Naperville Road, Suite 104A  
Wheaton, Illinois 60189

**DATE:** \_\_\_\_\_

**From:** \_\_\_\_\_

**Address:** \_\_\_\_\_

**Telephone No:** (\_\_\_\_) \_\_\_\_\_ **Social Security No:** \_\_\_\_\_

**E-Mail Address(es):** \_\_\_\_\_

**Citizenship:** U.S.A **Other:** \_\_\_\_\_

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**ASSETS**

**1. CASH**

(Including checking, savings and money market accounts)

<b><u>NAME OF ASSET</u></b>	<b><u>HOW TITLED</u></b> <b>(H, W, JT, TRUST)</b>	<b><u>FAIR MARKET</u></b> <b><u>VALUE</u></b>	<b><u>BENEFICIARY(IES)</u></b>

**2. STOCKS & BONDS, MUTUAL FUNDS**

(Indicate stock if a Subchapter S corporation)

<u>NAME OF ASSET</u>	<u>HOW TITLED</u> (H, W, JT, TRUST)	<u>FAIR MARKET</u> <u>VALUE</u>	<u>BENEFICIARY(IES)</u>

**3. ANNUITIES**

<u>COMPANY &amp; ANNUITY</u> <u>NO.</u>	<u>HOW TITLED</u> (H, W, JT, TRUST)	<u>FAIR MARKET</u> <u>VALUE</u>	<u>BENEFICIARY(IES)</u>

**4. NOTES AND/OR LOANS RECEIVABLE**

<u>DEBTOR(S)</u>	<u>NAME OF CREDITOR(S)</u>	<u>BALANCE OWING</u>

**5. REAL ESTATE INTERESTS**

<u>ADDRESS OR DESCRIPTION</u>	<u>HOW TITLED</u> (H, W, JT, TRUST)	<u>FAIR MARKET VALUE</u>

**6. AUTOMOBILES**

<u>MAKE, MODEL &amp; YEAR</u>	<u>HOW TITLED</u> (H, W, JT, TRUST)	<u>FAIR MARKET VALUE</u>

**7. OTHER PERSONAL PROPERTY**

<u>DESCRIPTION OF ASSET</u>	<u>OWNER</u> (H, W, JT, TRUST)	<u>FAIR MARKET VALUE</u>	<u>BENEFICIARY(IES)</u>

**8. UNLISTED SECURITIES**

<u>NAME OF ASSET</u>	<u>HOW TITLED</u> (H, W, JT, TRUST)	<u>FAIR MARKET VALUE</u>	<u>BENEFICIARY(IES)</u>

**9. BUSINESS INTERESTS**

<u>NAME OF ASSET</u>	<u>HOW TITLED</u> (H, W, JT, TRUST)	<u>FAIR MARKET VALUE</u>	<u>BENEFICIARY(IES)</u>

**10. PENSION FUNDS & IRA'S**

<u>NAME OF ASSET</u>	<u>HOW TITLED</u> (H, W, JT, TRUST)	<u>FAIR MARKET VALUE</u>	<u>BENEFICIARY(IES)</u>

**11. OTHER EMPLOYEE BENEFITS**  
 (Deferred Compensation, Stock Options, etc.)

<u>DESCRIPTION OF ASSET</u>	<u>HOW TITLED</u> (H, W, JT, TRUST)	<u>FAIR MARKET VALUE</u>	<u>BENEFICIARY(IES)</u>

12. **TOTAL ASSETS:** (Items 1 - 11)  
 (Enter here and on line 21)

**GROSS ESTATE:** \$ \_\_\_\_\_

**LIABILITIES**

**13. BANK LOANS - SECURED**

<u>OWING TO</u>	<u>WHO IS OBLIGATED</u> (H, W, JT, TRUST)	<u>BALANCE OWING</u>

**14. BANK LOANS - UNSECURED**

<u>OWING TO</u>	<u>WHO IS OBLIGATED</u> (H, W, JT, TRUST)	<u>BALANCE OWING</u>

**15. LOANS FROM FRIENDS OR RELATIVES**

<u>OWING TO</u>	<u>WHO IS OBLIGATED</u> (H, W, JT, TRUST)	<u>BALANCE OWING</u>

**16. ACCOUNTS & BILLS DUE**

(Credit Card & Utilities)

<u>OWING TO</u>	<u>WHO IS OBLIGATED</u> (H, W, JT, TRUST)	<u>AMOUNT OWING</u>

**17. UNPAID TAXES**

(Including any income taxes due)

<u>OWING TO</u>	<u>WHO IS OBLIGATED</u> (H, W, JT, TRUST)	<u>AMOUNT</u>

**18. REAL ESTATE MORTGAGES**

<u>OWING TO</u>	<u>WHO IS OBLIGATED</u> (H, W, JT, TRUST)	<u>BALANCE OWING</u>

**19. OTHER DEBTS**

<u>OWING TO</u>	<u>WHO IS OBLIGATED</u> (H, W, JT, TRUST)	<u>AMOUNT OWING</u>

**20. TOTAL ASSETS** (From line 12 above) \$ \_\_\_\_\_

**21. TOTAL LIABILITIES** (Lines 13 - 19 above) \$ \_\_\_\_\_

**22. SUBTRACT Line 21 from Line 20 - NET ESTATE** \$ \_\_\_\_\_



**23. LIFE INSURANCE**

	<u>COMPANY</u>	<u>INSURED</u>	<u>OWNER</u>	<u>BENEFICIARY(IES)</u>	<u>FACE VALUE</u>	<u>NET CASH VALUE</u>
a					\$	\$
b					\$	\$
c					\$	\$
d					\$	\$

24. ADD face value of 23 a - d, and enter here: \$ \_\_\_\_\_

25. ENTER Line 22 - NET ESTATE - here: \$ \_\_\_\_\_

26. ADD Lines 24 & 25 - **TOTAL TAXABLE ESTATE**: \$ \_\_\_\_\_

**PERSONAL INFORMATION**

**FOR HIM:**

Occupation: \_\_\_\_\_

Employer: \_\_\_\_\_

Annual Income: \_\_\_\_\_

Est. Retirement Yr: \_\_\_\_\_

Pension Details, If Any: \_\_\_\_\_

**FOR HER:**

Occupation: \_\_\_\_\_

Employer: \_\_\_\_\_

Annual Income: \_\_\_\_\_

Est. Retirement Yr: \_\_\_\_\_

Pension Details, If Any: \_\_\_\_\_

**CASUALTY AND GENERAL LIABILITY INSURANCE COVERAGE**

	<u>Name of Insurance Carrier:</u>	<u>Limits (Amount of Coverage):</u>
Homeowner's Policy(ies):	_____	_____
Auto Policy(ies):	_____	_____
Umbrella Policy(ies):	_____	_____
Other Policy(ies):	_____	_____
	_____	_____
	_____	_____

**OTHER INTERESTS**

Are you the beneficiary of or holder of a power of direction in any trust?

HIM:            Yes       No                       HER:    Yes       No

If yes, please explain: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_.

Do you have an interest in a pending probate estate?

HIM:            Yes       No                       HER:    Yes       No

If yes, please explain: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_.

Do you expect to receive an inheritance, proceeds of a life insurance policy or substantial gift within the next five (5) years?

HIM:                Yes      No

HER:              Yes      No

If yes, please explain: \_\_\_\_\_

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Do you have any judgments against you, or are you currently a defendant in any pending lawsuit, or do you expect to soon be named as a defendant in a lawsuit?

HIM:                Yes      No

HER:              Yes      No

If yes, please explain: \_\_\_\_\_

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Are you the plaintiff in any pending lawsuit, or do you expect to file a lawsuit in the near future?

HIM:                Yes      No

HER:              Yes      No

If yes, please explain: \_\_\_\_\_

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